

Docket No. 209774US0PCT/sdc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Karsten REIHS, et al.

SERIAL NO: 09/869,123

GAU: 1762

FILED: October 3, 2001

EXAMINER:

FOR: ULTRAPHOBIC SURFACE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the reference(s) have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 209774US0PCT		SERIAL NO. 09/869,123	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Karsten REIHS, et al.			
				FILING DATE October 3, 2001		GROUP 1762	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
TBR	AA	5,277,788	01/11/94	G. A. NITOWSKI, et al.			
TBR	AB	5,693,236	12/02/97	T. OKUMURA, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
TBR	AO	0 825 241	02/25/98	EUROPE			
TBR	AP	0 754 738	01/22/97	EUROPE			
TBR	AQ	0 795 592	09/17/97	EUROPE			
TBR	AR	0 476 510	03/25/92	EUROPE			
TBR	AS	WO 96/04123	02/15/96	WIPO			
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
TBR	AW	Patent Abstracts of Japan, JP 10-195348, July 28, 1998					
TBR	AX	C. RUPPE, et al., Thin Solid Films, Vol. 288, No. 1, pps. 8-13, "ROUGHNESS ANALYSIS OF OPTICAL FILMS AND SUBSTRATES BY ATOMIC FORCE MICROSCOPY," November 15, 1996					
TBR	AY	K. L. PRIME, et al., Chemical Abstracts, Vol. 115, No. 9, "SELF-ASSEMBLED ORGANIC MONOLAYERS: MODEL SYSTEMS FOR STUDYING ADSORPTION OF PROTEINS AT SURFACES," September 2, 1991					
TBR	AZ	D. W. HATCHETT, et al, Journal of The American Chemical Society, Vol. 120, pps. 1062-1069, "ELECTROCHEMICAL MEASUREMENT OF THE FREE ENERGY OF ABSORPTION OF N-ALKANETHIOLATES AT AG(111)," February 11, 1998					
Examiner Travis B. Riles					Date Considered 8/5/02		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							